

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION**

Applicants : Stuart Fraser, *et al.*

Application No. : 09/593,554 Confirmation No.: 7904

Filed : June 14, 2000 Group Art Unit: 3692

Title : SYSTEMS AND METHODS FOR ELECTRONIC TRADING
THAT PROVIDE INCENTIVES AND LINKED AUCTIONS

Examiner : Narayanswamy Subramanian

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

PRELIMINARY AMENDMENT

Sir:

This Preliminary Amendment is submitted in response a telephone interview with the Examiner and Applicants' representatives on August 22, 2007. Accordingly, entry of this Preliminary Amendment is respectfully requested.

Although no fee is believed to be due, the Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 50-3938.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 16 of this paper.